

## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Green Diode Laser

the specification of which is attached hereto unless the following box is checked:

☐ was filed on \_\_\_\_\_ as United States Application Number or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)

Priority Claimed  
☐ Yes ☐ No

☐ Yes ☐ No

☐ Yes ☐ No

I hereby claimed the benefit under 35 USC 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

_____ (Application Number)	_____ (Filing Date)	_____ (Status-patented, pending, abandoned)
_____ (Application Number)	_____ (Filing Date)	_____ (Status-patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s), with full powers of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

**Raymond Yat Chan, Reg. No. 37,484**

Address all correspondence to: 108 N. Ynez Ave., Suite 128, Monterey Park, CA 91754.

Telephone Calls to: (626) 571-9812

I hereby declare that all Statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) Li-Ning YOU

Inventor's signature Li-Ning YOU Date 2003.12.9  
Residence As follows Citizenship China  
Post Office Address 3F, Building 54, No. 1089 North Qin Zhou Road, Shanghai 200233, China

Full name of second joint inventor, if any (given name, family name) Wen-Zhao ZHANG

Second Inventor's signature Wen-Zhao ZHANG Date 2003.12.9  
Residence As follows Citizenship China  
Post Office Address 3F, Building 54, No. 1089 North Qin Zhou Road, Shanghai 200233, China

☒ Additional inventors are being named on separately numbered sheets attached hereto.

Full name of third joint inventor (given name, family name) Xian-Wen ZHENG

Third Inventor's signature 郑文轩 Date 2003.12.09  
Residence As follows Citizenship China  
Post Office Address 3F, Building 54, No. 1089 North Qin Zhou Road, Shanghai 200233, China

Full name of fourth joint inventor, if any (given name, family name) Wen-Hu XU

Fourth Inventor's signature 徐文虎 Date 2003.12.09  
Residence As follows Citizenship China  
Post Office Address 3F, Building 54, No. 1089 North Qin Zhou Road, Shanghai 200233, China

Full name of fifth joint inventor (given name, family name) Zheng-Hua XU

Fifth Inventor's signature 徐振华 Date 2003.12.09  
Residence As follows Citizenship China  
Post Office Address 3F, Building 54, No. 1089 North Qin Zhou Road, Shanghai 200233, China

Full name of sixth joint inventor, if any (given name, family name) Yan-Ping CHEN

Sixth Inventor's signature 陈燕萍 Date 2003.12.09  
Residence As follows Citizenship China  
Post Office Address 3F, Building 54, No. 1089 North Qin Zhou Road, Shanghai 200233, China

Full name of seventh joint inventor (given name, family name) Shao-Feng ZHANG

Seventh Inventor's signature 张少峰 Date 2003.12.09  
Residence As follows Citizenship China  
Post Office Address 3F, Building 54, No. 1089 North Qin Zhou Road, Shanghai 200233, China

Applicant or Patentee: \_\_\_\_\_ Attorney's  
Serial or Patent No.: \_\_\_\_\_ Docket No.: USP2345C-DRSH  
Filed or Issued: \_\_\_\_\_  
For: \_\_\_\_\_

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9 (f) and 1.27 (b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled: \_\_\_\_\_ described in:  
Green Diode Laser

☒ the specification filed herewith  
☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a non profit organization under 37 CFR 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern, or organization  
☐ person, concern or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME Shanghai Uniwave Technology Co., Ltd.  
ADDRESS 3F, Bldg. 54, No. 1089 North Qin Zhou Rd., 200233, China  
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

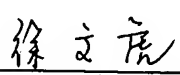

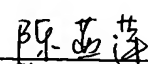
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))


I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statements is directed.

Li-Ning YOU	Wen-Zhao ZHANG	Xian-Wen ZHENG
NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
<u>刘利宁</u>	<u>王兆文</u>	<u>郑先文</u>
Signature of Inventor	Signature of Inventor	Signature of Inventor
<u>2003.12.9</u>	<u>2003.12.9</u>	<u>2003.12.09</u>
Date	Date	Date

+ Extra Sheet Attached

Applicant or Patentee: \_\_\_\_\_ Attorney's  
Serial or Patent No.: \_\_\_\_\_ Docket No.: USP2345C-DRSH  
Filed or Issued: \_\_\_\_\_  
For: \_\_\_\_\_

Wen-Hu XU	Zheng-Hua XU	Yan-Ping CHEN
NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
		
Signature of Inventor	Signature of Inventor	Signature of Inventor
<u>2003.12.09</u>	<u>2003.12.09</u>	<u>2003.12.09</u>
Date	Date	Date

Shao-Feng ZHANG		
NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
		
Signature of Inventor	Signature of Inventor	Signature of Inventor
<u>2003.12.09</u>		
Date	Date	Date